Mindful Continuing Education

Ethics and Legal Regulations for Behavioral Health Professionals

- 1. All behavioral health providers are responsible for adhering to each of the following laws/regulations EXCEPT?
- A. Mandatory reporting
- B. Duty to warn
- C. HIPAA laws
- D. FERPA regulations
- 2. While behavioral health providers are encouraged to stay abreast of local and state regulations, the only requirements they are legally required to comply with are federal laws.
- A. True
- B. False
- 3. All of the following are examples of dual relationships EXCEPT for:
- A. Belonging to a softball team with a patient
- B. Seeing a patient in the community pool weekly
- C. Running into a patient at the grocery store once
- D. Knowing a patient's father very well
- 4. Which of the following requires mandatory reporting?
- A. Financial exploitation
- B. Physical abuse
- C. Mental abuse
- D. All of the above
- 5. Duty to Warn laws only apply if there is reasonable cause to believe the patient could harm someone else.
- A. True
- B. False

6. All behavioral health professionals adhere to the same set of ethics requirements.
A. True
B. False
7. HIPAA requires all but which of the following kinds of safeguards?
A. Physical safeguards
B. Technological safeguards
C. Verbal safeguards D. Administrative safeguards
D. Administrative safeguards
8. Mandatory reporting laws apply to who?
A. Children
B. Aging individuals who require care C. Individuals with intellectual disabilities
D. All of the above
9. Holding the status as a mandatory reporter means that a professional is required,
due to moral and principled obligations, to report any situation where there is probable cause to believe that abuse, neglect, or exploitation has occurred.
A. True
B. False
10. The following can provide support to providers with concerns about ethics
A. Supervisors
B. Ethics committees
C. Veteran clients D. Both A and B
11. Each of the following is an accurate example of what constitutes a mandated reporting situation EXCEPT:
reporting situation EXCELT.
A. Mandatory reporters must have witnessed the abuse, neglect, or exploitation firsthand
B. Mandatory reporters may have witnessed boundary violations towards the vulnerable person
C. The mandated reporter may have received information verbally from the vulnerable
person or another person who knows the individual indicating a potentially abusive situation

D. The mandated reporter may have seen physical signs of harm on the vulnerable

- 12. Emotional abuse is defined as any attitude, behavior, or failure to act that interferes with a vulnerable person's mental health or that:
- A. Creates subjective trauma
- B. Causes undue feelings of intimidation and embarrassment
- C. Involves gestures or threats
- D. Impedes social development

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