

# Mindful Continuing Education

## Ethics and Legal Regulations for Behavioral Health Professionals

**1. All behavioral health providers are responsible for adhering to each of the following laws/regulations EXCEPT?**

- A. Mandatory reporting
  - B. Duty to warn
  - C. HIPAA laws
  - D. FERPA regulations
- 

**2. While behavioral health providers are encouraged to stay abreast of local and state regulations, the only requirements they are legally required to comply with are federal laws.**

- A. True
  - B. False
- 

**3. All of the following are examples of dual relationships EXCEPT for:**

- A. Belonging to a softball team with a patient
  - B. Seeing a patient in the community pool weekly
  - C. Running into a patient at the grocery store once
  - D. Knowing a patient's father very well
- 

**4. Which of the following requires mandatory reporting?**

- A. Financial exploitation
  - B. Physical abuse
  - C. Mental abuse
  - D. All of the above
- 

**5. Duty to Warn laws only apply if there is reasonable cause to believe the patient could harm someone else.**

- A. True
  - B. False
- 

**6. All behavioral health professionals adhere to the same set of ethics requirements.**

- A. True

B. False

---

**7. HIPAA requires all but which of the following kinds of safeguards?**

- A. Physical safeguards
  - B. Technological safeguards
  - C. Verbal safeguards
  - D. Administrative safeguards
- 

**8. Mandatory reporting laws apply to who?**

- A. Children
  - B. Aging individuals who require care
  - C. Individuals with intellectual disabilities
  - D. All of the above
- 

**9. Holding the status as a mandatory reporter means that a professional is required, due to moral and principled obligations, to report any situation where there is probable cause to believe that abuse, neglect, or exploitation has occurred.**

- A. True
  - B. False
- 

**10. The following can provide support to providers with concerns about ethics**

- A. Supervisors
  - B. Ethics committees
  - C. Veteran clients
  - D. Both A and B
- 

**11. Each of the following is an accurate example of what constitutes a mandated reporting situation EXCEPT:**

- A. Mandatory reporters must have witnessed the abuse, neglect, or exploitation firsthand
  - B. Mandatory reporters may have witnessed boundary violations towards the vulnerable person
  - C. The mandated reporter may have received information verbally from the vulnerable person or another person who knows the individual indicating a potentially abusive situation
  - D. The mandated reporter may have seen physical signs of harm on the vulnerable person
- 

**12. Emotional abuse is defined as any attitude, behavior, or failure to act that interferes with a vulnerable person's mental health or that:**

- A. Creates subjective trauma
- B. Causes undue feelings of intimidation and embarrassment

- C. Involves gestures or threats
  - D. Impedes social development
- 

Copyright © 2025 Mindful Continuing Education

Visit us at <https://www.mindfulceus.com>